Medical malpractice: 10 sure losers

A bad medical outcome doesn’t make it a good case. Before you say “yes” to a case, review the list – and think again.

BARRY GUSTIN, M.D.

To accept or reject a medical negligence case: This is the single most important decision you will make when processing a medical negligence case. If you choose unwisely, either a case with good potential will be lost, or an unmeritorious case will tie you up for long periods of time at great expense.

To make an informed decision about accepting a case, you must have the facts, not only those you obtain from your client, but, more importantly, those obtained from qualified medical experts after a thorough review of the medical records.

Case review is both a science and an art. The physician reviewer must be adroit at dissecting out the critical facts and determining whether or not the appropriate standards of practice were breached. Moreover, the reviewer must decide whether issues of causation clearly reinforce any alleged departures from the standard of care. Attention must also be given to damages. The issues can be quite complex. Are the injuries or disabilities due to malpractice or are they a maloccurrence, an unfortunate bad outcome that could not have been prevented? A few brief examples will illustrate how seemingly meritorious medical malpractice cases end with unequivocal defense verdicts.

CASE 1: When it turns out to be an accepted risk of a procedure

The plaintiff was a 52-year-old woman who suffered from esophageal achalasia, a condition in which the lower esophageal sphincter spasms and fails to relax. It results in difficulty swallowing. She went to a gastroenterologist who recommended pneumatic balloon dilatation or surgical repair (esophagomyotomy). The patient preferred the least invasive procedure and chose dilatation which was performed unsuccessfully three times by the defendant. On the third attempt, the plaintiff’s esophagus was perforated.

Esophageal perforation was a known risk of balloon dilatation to which plaintiff gave consent before the procedure. She underwent emergent surgical repair and suffered a prolonged hospitalization with serious infectious complications associated with the perforation.

The defendant contended he had done hundreds of dilatations without one complication. He did this dilatation no differently than he did the others. He had obtained sufficient informed consent from the patient. The perforation was an accepted risk of the procedure, and that in this instance, the perforation was unexpected, unforeseeable, and would have occurred with any other gastroenterologist performing the procedure.

The verdict was 12-0 in favor of the defense. Unexpected complications happen, but they’re not always the doctor’s fault.
**CASE 2: When you can't clearly substantiate your theory of causation**

The plaintiff was a 36-year-old woman who presented to a hospital for routine removal of her thyroid gland. The surgery went smoothly without complications. However, shortly after surgery in the recovery room, she developed a hematoma and suffered a respiratory arrest. She was resuscitated and the hematoma was surgically evacuated, but a significant delay in treatment resulted in severe brain damage and the patient is now in a permanent vegetative state.

Counsel for the plaintiff alleged that the nurse in the recovery room failed to call the attending physician when she noticed the hematoma. She also failed to call the doctor when the patient suddenly was unable to speak. The defendant contended the nurse had been told that a certain amount of bleeding was normal and to be expected. The defendant also contended the loss of the ability to speak was not accompanied with any other sign of clinical decompensation. The plaintiff’s expert testified that the sudden development of the inability to speak suggested a compromised recurrent laryngeal nerve and required an emergency intervention. Defense experts testified that the development of the inability to speak could occur in the absence of a developing hematoma, without additional signs or symptoms, and did not require urgent intervention under these clinical circumstances.

The verdict was 12-0 in favor of the defense. The burden of proof is on the plaintiff to demonstrate that, more likely than not, the injuries were due to a negligent act. But when, at the time of a clinical decompensation, there is an alternative reasonable explanation for the problem and the jury is convinced of its veracity, you will most probably lose your case.

**CASE 3: When you don’t name the correct defendant[s]**

The plaintiff was a 17-year-old student who fell while playing basketball and sustained a closed head injury with a brief period of unconsciousness. He also sustained clavicle and rib fractures, as well as a wrist injury. His friends took him to the nearest emergency room where he was triaged by an intake nurse who astutely documented the extent and magnitude of injuries. The emergency room then contacted the doctor who was on call for the medical group with whom the plaintiff and his family maintained insurance coverage. The doctor, however, was not told of the head injury. He insisted the patient receive his evaluation and care at another emergency department. No physician did a hands-on evaluation of the patient at the first emergency department. Upon arrival at the second facility, the patient deteriorated, underwent a CT scan of the head and a subdural hematoma was diagnosed. The second hospital did not have a neurosurgeon on call, so the patient was transferred back to the first hospital. The delay in diagnosis and definitive management amounted to more than five hours. After evacuation of the clot, the patient was left with a moderate neurologic status. The physician defendant claimed the patient receive his evaluation and care at another emergency department. No physician did a hands-on evaluation of the patient at the first emergency department. Upon arrival at the second facility, the patient deteriorated, underwent a CT scan of the head and a subdural hematoma was diagnosed. The second hospital did not have a neurosurgeon on call, so the patient was transferred back to the first hospital. The delay in diagnosis and definitive management amounted to more than five hours. After evacuation of the clot, the patient was left with a moderate neurologic status. The physician defendant claimed the patient receive his evaluation and care at another emergency department. No physician did a hands-on evaluation of the patient at the first emergency department. Upon arrival at the second facility, the patient deteriorated, underwent a CT scan of the head and a subdural hematoma was diagnosed. The second hospital did not have a neurosurgeon on call, so the patient was transferred back to the first hospital. The delay in diagnosis and definitive management amounted to more than five hours. After evacuation of the clot, the patient was left with a moderate neurologic status. The physician defendant claimed the patient receive his evaluation and care at another emergency department. No physician did a hands-on evaluation of the patient at the first emergency department. Upon arrival at the second facility, the patient deteriorated, underwent a CT scan of the head and a subdural hematoma was diagnosed. The second hospital did not have a neurosurgeon on call, so the patient was transferred back to the first hospital. The delay in diagnosis and definitive management amounted to more than five hours. After evacuation of the clot, the patient was left with a moderate neurologic status. The physician defendant claimed the patient receive his evaluation and care at another emergency department. No physician did a hands-on evaluation of the patient at the first emergency department. Upon arrival at the second facility, the patient deteriorated, underwent a CT scan of the head and a subdural hematoma was diagnosed. The second hospital did not have a neurosurgeon on call, so the patient was transferred back to the first hospital. The delay in diagnosis and definitive management amounted to more than five hours. After evacuation of the clot, the patient was left with a moderate neurologic status.

**CASE 4: When your case comes down to the physician’s word versus the plaintiff’s word**

Plaintiff minor was one-year-old with a history of a congenital heart defect who in 1984 underwent open heart surgery to repair the defect. During this surgery he received a blood transfusion contaminated with the AIDS virus. He is expected to live no longer than his sixteenth birthday.

The plaintiff’s mother alleged that she spoke to the defendant physician the night before the surgery and demanded the family be allowed to donate blood for the child. She also said the defendant told the family that it was too late to donate. The physician defendant claimed under oath that neither the plaintiff’s mother nor any other family member ever requested direct blood donations. There was no documentation either way.
The plaintiff alleged that it was below the standard of care to not take the blood pressure as part of the routine evaluation, or to elicit the patient’s history of uncontrolled hypertension. Accordingly, the plaintiff alleged that had the blood pressure been taken, he would have been admitted, his blood pressure emergently treated and the stroke would have been prevented. The defendant contended it would have been his custom and practice to take a blood pressure regardless of the chief complaint, and he believed he did so but simply failed to record it since it was not significantly elevated. The defense position was that the stroke occurred after the visit to the urgent care center and could not have been anticipated by the defendant physician whose practice was clearly within the acceptable standards. The verdict was 12-0 in favor of the defense. The jury had no problem vindicating the defendant who, they believed, did nothing wrong.

CASE 7: When you can’t get beyond the “ordinary” care standard

The plaintiff was a 54-year-old man with a history of a prior small myocardial infarction who, under the supervision of a cardiologist, was rehabilitated to excellent health. All stress treadmills, echocardiograms and electrocardiograms were without abnormalities. Prior to a planned strenuous hiking trip, he underwent a stress treadmill. During this study, he had a sudden drop of blood pressure when his heart rate was near its maximum for the study. The doctor erroneously concluded that this abnormality was spurious and represented no danger. His patient, while hiking one week later, suddenly collapsed and died.

At trial, the plaintiff’s expert provided a scientifically correct physiologic explanation for the drop in blood pressure, stating that this represented a drop in cardiac output which was due to left ventricular dysfunction. But the defense retorted that by customary standards, the treadmill results were excellent without any ECG changes. By widely accepted criteria, the patient could be categorized as functional class 1, with no exercise limitations.

The verdict was 12-0 in favor of the defense. The jury justified their decision by saying that the doctor’s oversight did not breach the “reasonable” or “ordinary” care standard, even though they were convinced that the sudden drop in blood pressure was, more likely than not, due to serious cardiac dysfunction. This reinforces the jury instruction that physicians do not have to be “perfect” in their practice of medicine; they only have to meet a “reasonable” or “ordinary” care standard.

CASE 8: When minimal or no damages result in exoneration of the negligent doctor

The plaintiff was a 42-year-old woman who told her regular physician that she had noticed a lump in her right breast. Her doctor thought the lump was consistent with benign fibrocystic breast disease and did not order a mammogram, even though she requested one. She returned twice more to the doctor for unrelated complaints. The chart did not document breast complaints, however, the patient was adamant that on both occasions, she told the doctor about the breast mass and asked twice for mammograms. She said that the doctor dismissed her concerns and denied her requests for a mammogram.

Finally, on the fourth visit, 13 months after her initial visit, the doctor acquiesced and ordered a mammogram, which revealed a malignancy. She underwent a partial mastectomy and the tumor was characterized as Grade I with no lymph node involvement. At trial, the plaintiff was in excellent health without recurrence, and it was the opinion of the defense experts that she was entirely cured.

The verdict was 12-0 in favor of the defense. Although the jury was critical of
the doctor’s delay in diagnosing the tumor and felt that this was a clear breach in the standard of care, because the plaintiff appeared cured and there was no evidence of metastasis, they were reluctant to damage the respectable doctor’s reputation by returning a plaintiff verdict.

CASE 9: When a delay in diagnosis and treatment doesn’t change the prognosis

The plaintiff was a 33-year-old woman who had a new breast mass evaluated by her physician. He did an immediate needle biopsy which was negative, and he decided not to order a mammogram. A year later, she was diagnosed with terminal metastatic breast cancer.

The verdict was 12-0 in favor of the defense. Although jurors agreed that the standard of care had been breached in that a negative needle biopsy should be followed by a mammogram or surgical biopsy, they believed the defense expert oncologist who testified that based on the grade and stage of the tumor at the time of the diagnosis one year earlier, the cancer was already terminal. Earlier diagnosis and treatment would not have altered the course of the disease. Thus, the plaintiff’s attorney could not successfully establish “causation” and lost the case.

CASE 10: When a plaintiff’s damages don’t justify a plaintiff verdict

The plaintiff was a 46-year-old cab driver who visited an orthopedist with complaints of right knee pain. The physician’s exam which focused only on the knee was negative, as was an X-ray of the knee. The doctor was told by his patient that many years earlier, he had a midshaft tibial fracture which required open reduction and internal fixation. However, the physician did not examine the area nor did he order X-rays of the mid-tibial area. Instead, he referred the patient to a neurologist for evaluation.

The defendant neurologist interviewed the patient but did not conduct a focused neurologic exam, postulating instead that the patient’s pain was due to meralgia paresthetica – entrapment of a nerve in his thigh. He prescribed anti-inflammatory medication and told the patient that he would improve over time.

The patient was seen weekly for several months without any improvement nor any direct examination of the leg. Ultimately, his pain worsened, and he was diagnosed in a local emergency room with advanced tibial osteomyelitis. He underwent debridement and was hospitalized for a two-week course of intravenous antibiotics. He eventually healed. His only residual was a gross cosmetic defect in his mid-tibia. This deformity did not prevent him from returning to work.

He sued the neurologist and orthopedist contending that they failed to include in their differential diagnosis the obvious possibility of a tibial complication. With appropriate diagnosis and care, he would have avoided surgery and the grotesque leg defect.

At trial, even the defense attorney conceded that the standard of care had been breached, yet he pointed out that worker’s compensation paid for all the medical bills, sick leave took care of his lost wages, and the defect did not preclude his returning to work.

The verdict was 12-0 in favor of the defense. The jurors concluded both doctors were negligent and their carelessness was a direct proximate cause of the patient’s injuries. But when faced with the decision of whether they should penalize the doctors with a reputation-damaging plaintiff verdict, they decided to act in favor of the doctors. The doctors were obviously negligent, yet the jury found in their favor. Why? Simply, juries tend to be sympathetic towards physicians, especially when the monetary loss is minimal and the disability minor, such that it hardly interferes with a plaintiff’s normal, personal and professional life.

Think twice when...

There are other reasons why you should think twice about taking a medical negligence case. Several of them are listed below:

• The medical issues are complex. The more complex the medical issues, the more difficult it will be to convince the jury that the doctor committed malpractice. If a case involves multiple physicians, some of whom committed no negligent acts, it may be exceedingly difficult to separate out the truly negligent care. Jurors may view this kind of lawsuit as an unwarranted attack on everyone. Plus, from the expert point of view, your case will be more expensive to litigate. Thus, you should be sure that damages are substantial.

• The patient underwent a medical procedure for cosmetic rather than medical reasons. Jurors often believe that these people are vain and that they assume all the risk of a bad outcome.

• The plaintiff’s condition is such that delayed diagnosis or misdiagnosis did not result in significant additional injury and would not have changed the prognosis. Jurors often find the “so what” defense compelling enough to excuse medical negligence.

• When the defense medical experts include the follow-up care physicians, their credibility usually exceeds the credibility of the plaintiff’s experts.

• Damages resulting from the injury are too small to justify the time and expense of litigating the claim.

• The defendant is a well-known and highly respected physician that most reputable medical experts refuse to testify against. It may be very difficult to find an appropriate expert. Even if one is found, because of the defendant’s standing in the medical community, it may be more difficult to obtain a judgment against him. Also, if the procedure, treatment or medical subspecialty is rare, then it will be very difficult finding a qualified medical expert witness to testify.
The case hinges only on informed consent or misrepresentation issues. This often pits the health-care provider against the plaintiff in terms of credibility and honesty. Furthermore, it will be difficult to convince a jury that the plaintiff would not have agreed to the procedure or treatment if properly informed of its risks.

Causation cannot be satisfactorily established. Cases will be lost in these situations even when care was grossly negligent.

A plaintiff has exacerbated the damage by not following the physician’s instructions. For example, did the plaintiff add to the damage by walking on a leg despite non-weight-bearing orders?

When a shortened life-expectancy existed anyway from non-related conditions. For example, even though a 40-year-old man bled to death on the operating room table due to physician error, this patient had terminal lung cancer and a very short life-expectancy.

Barry E. Gustin, MD, MPH has reviewed thousands of medical negligence cases in his role as an advisory board physician with American Medical Forensics Group, Berkeley, California. Dr. Gustin is board-certified in Emergency Medicine and holds a Masters of Public Health from UC Berkeley. www.amfs.com